Comparing Genetic Programming Approaches for Non-Functional Genetic Improvement Case Study: Improvement of MiniSAT's Running Time

Aymeric Blot Justyna Petke

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# **Genetic Improvement (GI)**

#### Automated software improvement:

- Program repair / bug fixing
- Feature transplantation
- Running time
- Memory/energy consumption

#### Non-functional GI in practice:

- Start from original software
- Accumulate sequences of edits
- Deletion/replacement/insertion
- Lines/statements/data

### Non-Functional GI So Far: Success Stories

#### Non-functional GI literature usually:

- Focuses on software and final improvements
- Fine tunes GI approach to the application
- Only reports positive results

Motivation: focus on the evolutionary process

## Focus on the Evolutionary Process

### Case study:

- Pre-existing GI scenario: MiniSAT
- Running time  $\rightarrow$  CPU instructions
- Eight GP approaches; four random approaches
- k-fold cross-validation

#### **Research Questions:**

- Effectiveness? (*how often*)
- Efficiency? (how good)
- Robustness? (*how sensible to parameters*)
- Consistency? (impact of data)

## **Experimental Protocol**

### **Training:**

- To find improved software variants
- Using the search process (GP)
- Until budget exhaustion

### Validation:

- To avoid overfitting
- Filter out potentially harmful mutations

#### Test:

To assess generalisation

### **Experimental Protocol**

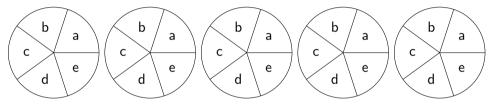
#### Some issues in some previous GI work:

- Report a single GI run
- Do not report intermediary results
- Reuse training data in validation and test steps
- Use a single random data split
- Use different types of data between steps

#### k-fold cross-validation:

- $\blacktriangleright$  Report k GI runs
- Use disjoint data on three steps
- Assess generalisation on the same type of data

Data is separated into k disjoint "folds" Then labelled in k different ways:



Test: (X)

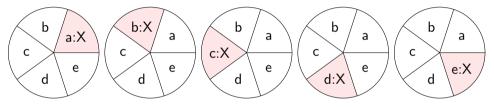
- Single fold
- Sequentially

### Validation: (V)

- Single fold
- Uniform at random

- ▶ k-2 folds
- All remaining

Data is separated into k disjoint "folds" Then labelled in k different ways:



Test: (X)

Single fold

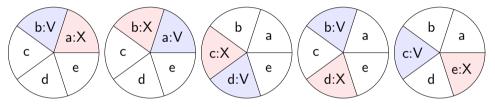
Sequentially

### Validation: (V)

- Single fold
- Uniform at random

- ▶ k-2 folds
- All remaining

Data is separated into k disjoint "folds" Then labelled in k different ways:



Test: (X)

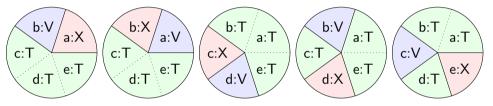
- Single fold
- Sequentially

Validation: (V)

- Single fold
- ► Uniform at random

- ▶ k-2 folds
- All remaining

#### Data is separated into k disjoint "folds" Then labelled in k different ways:



Test: (X)

- Single fold
- Sequentially

### Validation: (V)

- Single fold
- Uniform at random

- ▶ k-2 folds
- ► All remaining

## Training: Random Search (Baseline), GP, $GP_e$

**Rand(**m**):** with m = 1, 2, 5, 10

- Generate sequences of up to m mutations
- Independent; uniformly at random

**GP**(*n*): with n = 10, 20, 50, 100

- Population: fixed size n
- Initialisation: single random mutation
- $\blacktriangleright$  Offspring: 50% crossover, 50% mutation

**GP**<sub>e</sub>(n): (new) with n = 10, 20, 50, 100

- GP(n) with elitism
- Best 10% forwarded (+ 45% crossover, 45% mutation)

## Genetic Programming Main Loop

### Selection:

Filter invalid individuals and sort by fitness

### Elitism: (new)

 $\blacktriangleright$  Forward best  $p_e$  individuals to offspring

### **Crossover:**

 $\blacktriangleright$  Select best  $p_c$  individuals, 1-point crossover with a random parent

### **Mutation:**

• Select best  $p_m$  individuals, append a random mutation

#### **Regrow:**

 $\blacktriangleright$  If not enough offspring, add new random individuals of size 1

### After every generation, update the fitness function

## Validation: Filtering

### First pass: (new)

- Sequentially remove edits with no impact
- ▶ To reduce size of edit sequences and shorten the second pass

### Second pass:

- Evaluate every edit independently
- Sort them by fitness
- Sequentially re-add them, keep if improving

## **Experimental Setup**

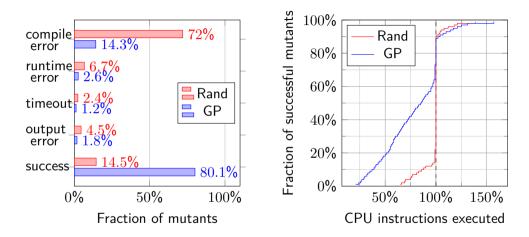
### MiniSAT: (% http://minisat.se/)

- Award winning SAT solver, still relevant today
- Designed to be simple, modular, and extensible.
- minisat2-070721 (2007), minisat-2.2.0 (2008, latest version)
- ▶ Search-related code in a single C++ file (428 AST nodes)

12 search processes: Rand  $\times 4$ , GP  $\times 4$ , GP<sub>e</sub>  $\times 4$ 

130 CIT instances: from previous GI work

## **Training Overall Analysis**



Training budget: 10000 SAT instances Average execution time: Rand: 2 hours << GP: 10 hours

## Experimental Results (Fold 1)

Training			Validation				Test
Search	Size	CPU	Size'	CPU	$Size^{\star}$	CPU*	CPU*
Rand(1)	1	66.5%	1	114.0%	0		
Rand(2)	2	67.0%	2	114.5%	0	_	
Rand(5)	1	75.0%	1	109.0%	0	_	
Rand(10)	2	74.9%	2	107.2%	1	100.0%	100.0%
GP(10)	16	99.9%	11	99.9%	7	99.9%	99.9%
GP(20)	32	92.7%	12	123.4%	5	93.5%	67.4%
GP(50)	23	69.6%	11	102.6%	3	99.4%	99.6%
GP(100)	16	63.8%	13	111.3%	4	99.9%	99.9%
$GP_e(10)$	1304	33.5%	26	114.4%	13	90.8%	62.8%
$GP_e(20)$	268	57.7%	21	105.5%	4	91.0%	63.0%
$GP_e(50)$	15	78.2%	7	123.6%	5	96.7%	98.5%
$GP_e(100)$	6	64.8%	6	107.1%	2	100.0%	100.0%

## **Experimental Results (Fold 4)**

Training			Validation				Test
Search	Size	CPU	Size'	CPU	$Size^{\star}$	CPU*	CPU*
Rand(1)	1	57.4%	1	77.2%	1	77.2%	122.8%
Rand(2)	1	77.1%	1	75.4%	1	75.4%	92.0%
Rand(5)	3	57.7%	3	99.9%	1	99.8%	96.1%
Rand(10)	1	77.1%	1	75.4%	1	75.4%	92.0%
GP(10)	26	93.8%	9	91.6%	6	91.6%	126.9%
GP(20)	54	22.2%	13	55.0%	6	50.2%	124.7%
GP(50)	9	82.8%	7	91.0%	6	54.0%	115.8%
GP(100)	7	57.8%	5	75.4%	3	75.4%	92.0%
$GP_e(10)$	2	99.8%	2	99.9%	2	99.9%	99.8%
$GP_e(20)$	49	22.2%	9	54.9%	8	49.8%	123.8%
$GP_e(50)$	6	82.8%	6	99.7%	4	99.7%	130.6%
$GP_e(100)$	10	48.9%	9	119.6%	5	50.1%	124.7%

### **Results Overview**

#### GP as search process:

- Much more successful than random search
- Not very parameter-sensitive
- Large overfits

#### **Repeated experiments:**

- Very variable results
- Highly heterogeneous dataset

## **Research Questions**

Effectiveness: (how often)

- $\triangleright$  > 5% after *training*: almost always
- $\blacktriangleright$  > 5% after *either validation or test*: half of the time
- $\blacktriangleright$  > 5% after validation AND test: only 5/40 GP, 2/20 Rand

### Efficiency: (how good)

- **>** Down to 36% CPU instructions (64% faster) on some unseen folds
- Two-third of improvements > 25% (validation or test)

**Robustness:** (how sensible to parameter)

Inconclusive (due to dataset?)

**Consistency:** (*impact of data*)

Inconclusive as revealed by protocol

## Conclusion

#### What we did:

- Re-used existing GI scenario
- Much more rigorous experimental protocol

#### What we obtained:

- Consistent results for fixed data
- Inconsistent results when controlling data
- Some very good mutants

#### What we learned:

- Many potential hidden flaws
- Controlling data is essential
- Potential for better approaches

### **Final Words**

#### Take-home message:

- ► GI exists, and GI works!
- But it can work better!
- Success stories  $\rightarrow$  standardisation
- First step towards future investigation

## **Selected References**

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