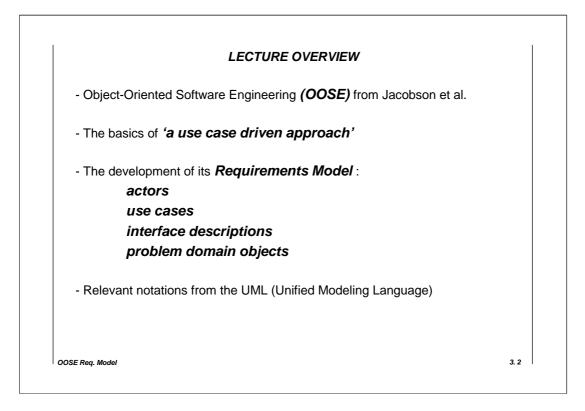
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Last week we have identified and discussed five important objectoriented principles. These were objects, classes, encapsulation, inheritance and polymorphism. These concepts are generally applicable to all types of object-oriented systems, be they user interfaces, databases, programming languages or analysis and design methods.

This week we are going to focus our attention to object-oriented methods for analysis and design. A method comprises a notation and a number of development strategies and heuristics that identify how the notation should be used.

In the early ninties as about 10 completely different object-oriented methods were defined. Luckily for both you and us, the field is a bit more consolidated now. While we give this lecture, the merger of the notations used by the three most prominent methods (those put forward by Booch, Rumbaugh and Jacobson) has been revealed. This notation is referred to as the Unified Modelling Language. It will be used in the re-definition of the three methods, which then will only differ in the development procedures and heuristics that suggest how to use the notation. In this module, we anticipate the redefinition for Jacobson's approach of object-oriented software engineering (OOSE)

Hence, this week's question will be: What steps does OOSE suggest and how does its first step look like?



We have selected lvar Jacobsons OOSE method for this course because we do not think that it is feasible to teach all relevant methods.

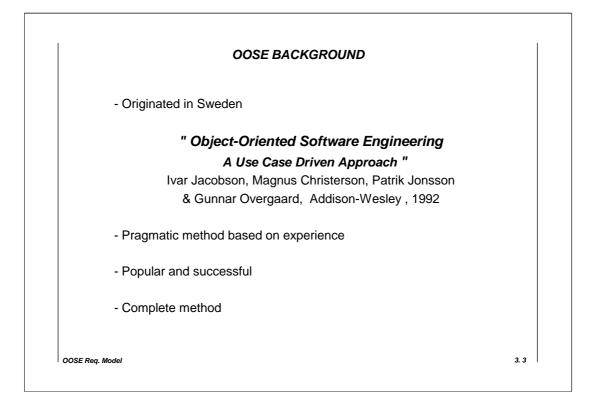
The selection of object-oriented software engineering derives partially from the fact that it supports use case modelling, which we believe is important for the elicitation of requirements from stakeholders. It is also due to the fact that we believe OOSE gives more detailed process guidance than the other two methods.

The sub-title of the book 'a use case driven approach' summarises the originality of the approach, its value and much of its structure. A 'use case model', in essence a representation of how the system is used, and will be used, has a formative relationship with all the models used in OOSE.

The focus of this lecture will be on a subset of this method that identifies the notations and the development procedures and heuristics in order to derive a requirements model.

The lecture will also introduce the first elements of the UML as the form of notation. This is a new notation language, only just launched in a complete form by the previously competing authors of object-oriented methods: Grady Booch, James Rumbaugh and Ivar Jacobson.

The next slide gives a little bit of background information of where OOSE originated from...



The OOSE emphasis on the user and use cases is not surprising given Scandinavian concern with participative design.

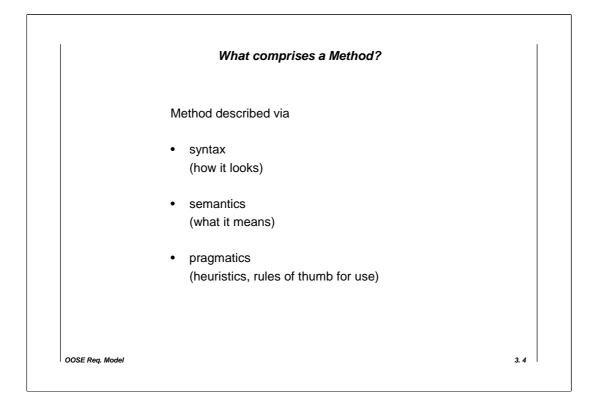
The method originates from work in the electronics firm Ericsson, and the book contains a lengthy and very detailed telecommunication example. Telecommunication is a domain where the application of object-orientated methods is particularly successful. This is partly due to the fact that telecommunication software is so complex and changes so drastically that structure-oriented method have failed. The ESS5 switching system produced by AT&T, for instance, comprises 5 million lines of C++ code and has been delivered in different configurations to at least 20 different telecom networks in different countries.

Besides having, or perhaps because of having practical origin, the object-oriented software engineering method is successful both commercially and as a teaching method.

The method is also complete, in the sense of covering all stages of system development, procedures (and notations), and supported by a case tool called Objectory, which is available here at City University.

Indications from the documentation for the UML suggest that it includes definitions of all the essential concepts that are required for OOSE.

In order to start the introduction of OOSE and UML, we are going to look at what the constituents of a method are...



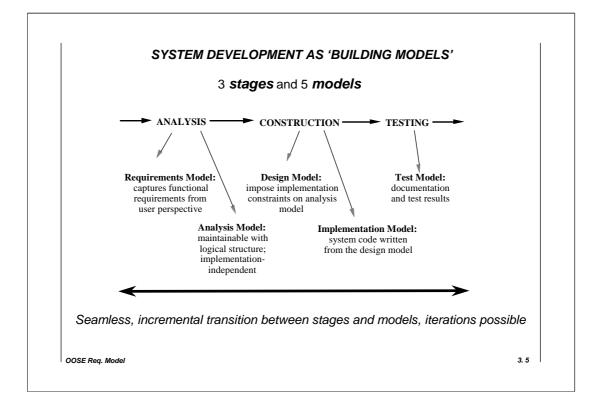
A method that is to be used during in a certain stage of a software engineering project has to be defined in terms of the notation that is used for producing the products expected during that stage and the development heuristics and procedures used for producing the products.

The notation is defined in terms of syntax and semantics. The syntactic part of the notation can be determined in terms of a grammar, be it for a textual or a graphical language. Hence the syntax determines the grammatical correctness of the grammar. Rules such as dataflows must start from or lead to a process of a Dataflow diagram would be defined as part of the grammar for a graphical definition. For the grammar of C++ we would include rules that each statement must be finished with a semicolon.

The semantics of a language can be distinguished in static and dynamic respects. The static part typically identifies scoping and typing rules, such as that declaring occurrences of identifiers must be unique within a certain scope and that applied occurrences must match with particular declarations. The dynamic semantics defines the meaning for different concepts of the notation (that usually have to be correct with respect to syntax and static semantics).

The pragmatics of a method identifies suggestions and heuristics of how a notation should be used. It identifies which concept of the notation should be used to express different concerns (such as operations should express behaviour and attributes should express states) and may suggests orders for the development (such as identify the different classes, then establish the state they capture in terms of attributes and finally determine the operations).

We now look at the coarse-grained pragmatics of OOSE and reveal what different development steps Jacobson suggests...

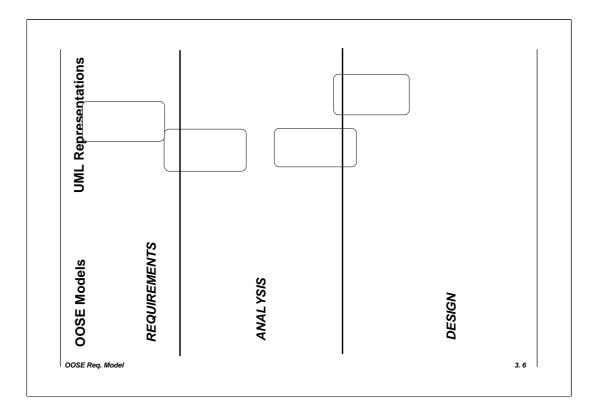


Jacobson views system development as a process consisting of stages that produce model descriptions. Each partial model is an abstraction of the system enabling the developer to make decisions necessary to move closer to the final (complete) model, the tested executeable code of the system. Each modelling step adds more structure; each model is more formal. In the diagram a sequence of specific objectives is shown under the model titles.

One of the advantages of object-orientation is that it favours *incremental development*. Incremental development denotes a software development process, where it is not necessary to complete all the requirements before the design can start. In these processes it is, therefore, not uncommon to define the requirements, design implementation and test components even though the requirements of other components have not yet been fully defined. Incremental processes are supported by object-orientation because the same concepts, i.e. objects, classes, inheritance, attributes and operations are used througout the different models. Hence, analysis objects integrate seamlessly with design objects; from these again there is a seamless integration with implementation objects. The reverse direction is equally well supported.

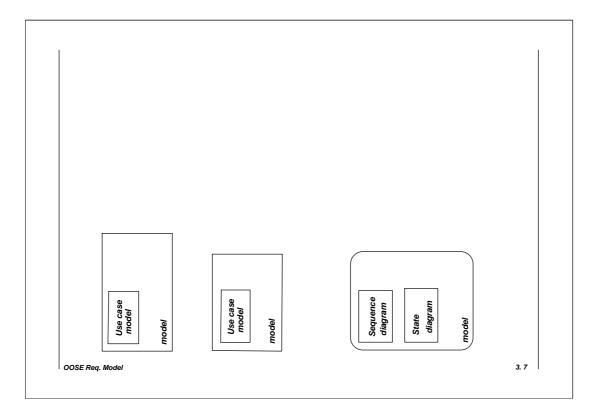
This lecture is concerned only with the first OOSE model, requirements; the next is about analysis and the following two about design.

The next thing to do is to show the relationship between processes and models in OOSE i.e. between the processes and the methods and techniques for modelling ...

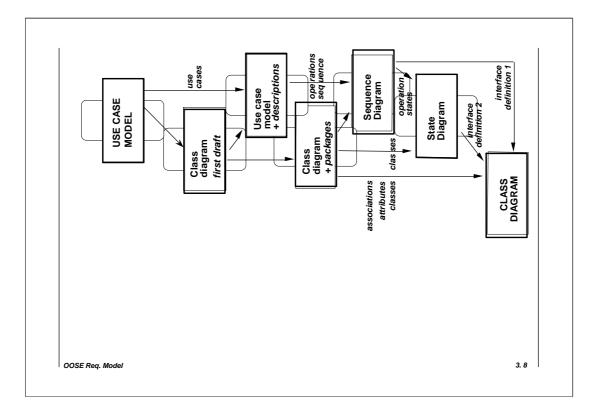


The stages that we are concerned with in this lecture are requirements, analysis and design.

The importance of requirements cannot be overemphasised. It costs in the area of $\pounds1,000-10,000$ to rectify an error in the implementation code. Design errors are about 10 times as expensive to fix than implementation faults. To rectify a requirements errors again will cost in the order of 10 times as much as a design fault. Hence requirement fixes might cost as much as $\pounds1,000,000$, which is often too expensive and leads to the situation that the constructed system scrapped completely and never deployed.

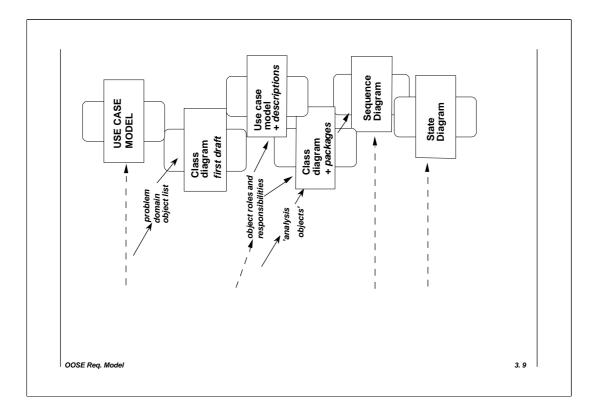


This slide displays the different models that Jacobson suggests to develop during the different stages. A use case model is developed during the requirements stage. The use case model is refined during the analysis analysis stage and sequence diagram and state diagram models will be developed during the design stage.

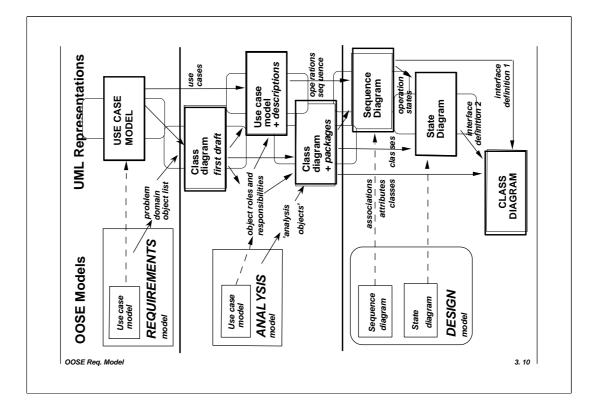


This slide identifies the different UML diagram types that we will use during the different stages in order to develop different models.

The most important difference between the approach that we suggest and Jacobson's approach is the use of class diagrams in the analysis phase. Class diagrams will specify the static properties of classes including attributes, relationships and operations.



This slide attempts the identification of relationships between Jacobson's approach and the approach we suggest.



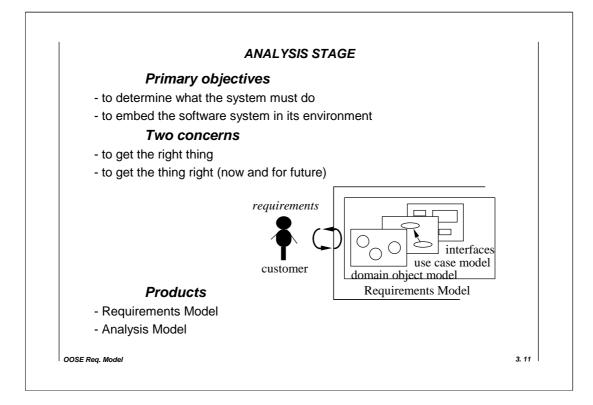
This diagram provides the complete overview of the relationship between the OOSE models and the UML representation we suggest to use. It does not show any of the incremental cyles which are an unavoidable part of a real development process. All the elements will be introduce in detail later.

The most important element, by far, in the UML representation is the 'class diagram'; its progressive elaboration, in parallel with the use case model, will dominate the form of the process.

This may sound simple, but unfortunately it is not so. The problem that stands in the way is that stakeholders often do not have a precise understanding what they want. Barry Boehm characterised this precisely by "Users tell you: 'I do not know what I want, but I will tell you when I see it'" [Boeh88].

Hence the requirements modelling stage is concerned with eliciting and defining the user requirements at a sufficient level of detail so that these definitions can serve as the definitive input for any later stages.

Now we look at the analysis phase in detail, beginning with requirements. The purpose of the requirements phase is to define in sufficient detail all the functional requirements that users expect the system to have...



The requirements stage is concerned with *what* users expect a system to achieve, it is not concerned with *how* the system is going to achieve its objectives. It is sometimes difficult for a software engineer to keep these two different perspectives apart; hence care should be taken in order to not confuse them.

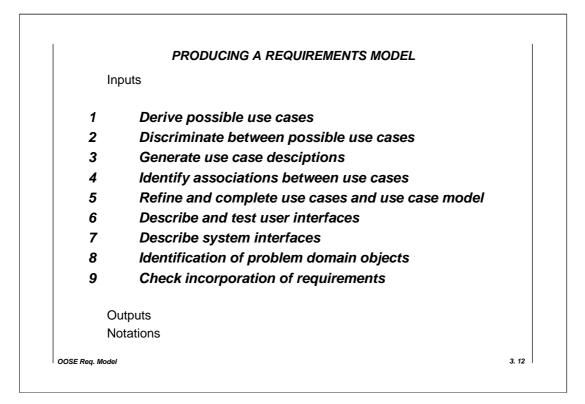
In order to identify the objects that are involved in performing certain functions for a system, it is not sufficient to focus on the system in isolation. The requirements stage, therefore, takes a more wholistic view and identifies the embedding of the future system into its environment. In requirements definitions, it is therefore not uncommon to have objects, such as the librarian of a library support system, that will have no representation in the system itself. Also existing systems that need to be integrated with a new system are often regarded as objects.

The analysis stage produces two modules to address different concerns. The requirements model defines how to get the right thing and the analysis model defines how to get the thing right.

The requirements model has three elements, two models and a set of more generally defined interface descriptions.

The analysis model, the second component of this phase, is a description in terms of interface, entity and control objects, considered in detail in the next lecture.

From the practical point of view taken in this course, it is necessary to balance the theoretical view with a detailed, sequential account of the process that we look at on the next slide ...

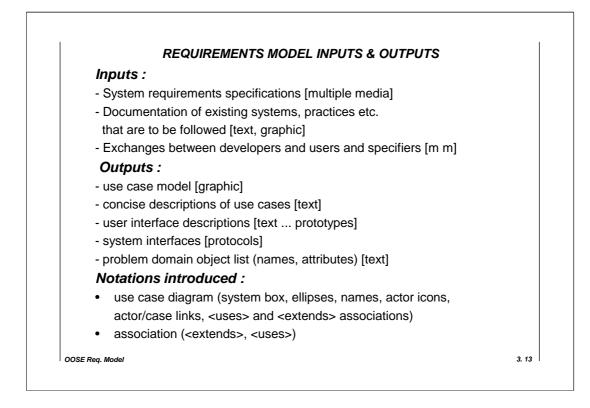


This slide presents an overview of the procedures that have to be followed when developing the requirements model. The requirements model consists of use cases. These are used to identify different scenarios, for instance for different user roles, as to how the system will be used.

The first step is very much a brainstorming activity where different scenarios are captured in as many use cases as possible. The second step tries to identify, order the different scenarios and get rid of duplicates. The third step refines each of the use cases with a text describing each use case in more detail. The fourth step identifies extension so and usage relationships between the so far isolated use cases. The fifth step refines and completes the use case model. Then the user interface of the system is defined and tested as the sixth step. These first prototypes of the system often generates requirements that were unidentified previously. After that the seventh step defines the system interfaces to systems that previously existed and need to be integrated. The eighth step is, in fact, already a preparation of the analysis phase and it identifies the domain objects. The final and optional step validates that the informal requirements definitions that served as an input have been captured in the use cases, user interface and system interface definitions.

The lectures will concentrate on the concepts and models used, leaving detailed procedures to tutorial work. For your convenience the last two pages of these notes include a complete breakdown of the above steps.

A definition of the inputs and outputs for the steps follows on the next slide...

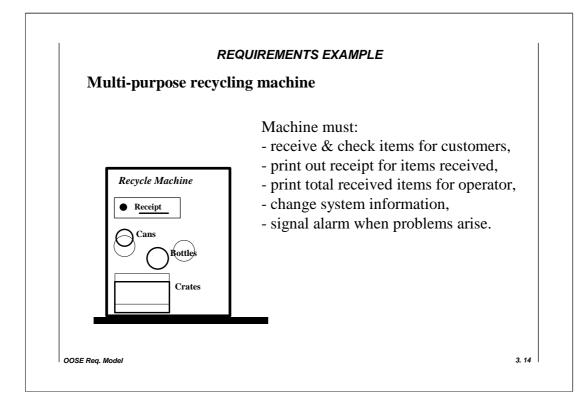


The inputs to requirements modelling are the diverse sources from which system requirements may be derived, and the variety of media in which they may be carried. Usually there are textual descriptions that stake holders produce in order to outline the goals that the system should meet. Also (especially bigger organisations) have business process descriptions outlining the workflow that a system might have to support. Finally, meetings with future stake holders where requirements are explicitly elicitated are often recorded on audio or video tapes and these tapes might be transcribed in order obtain the relevant textual description from which requirements can be extracted.

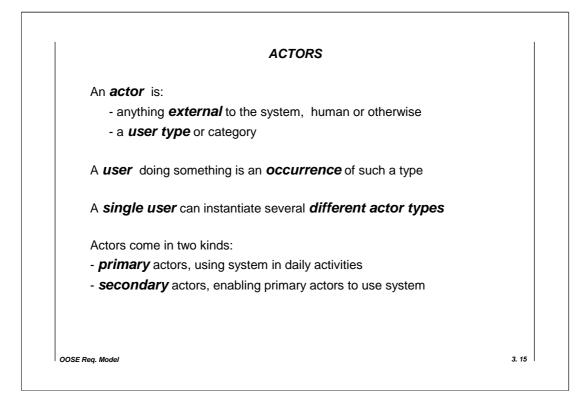
The output will formalise these inputs both in terms of their content, as use cases and the use case model, and in term of their representation via UML diagrams and texts.

The notations introduced include all those included in the UML for use case model, plus the first *associations*, the generic term used for relationships in UML.

Let us now begin to consider requirements with an example taken from Jacobson's book...



For the details of this example, consider pages 155-156 of [JCJÖ92].



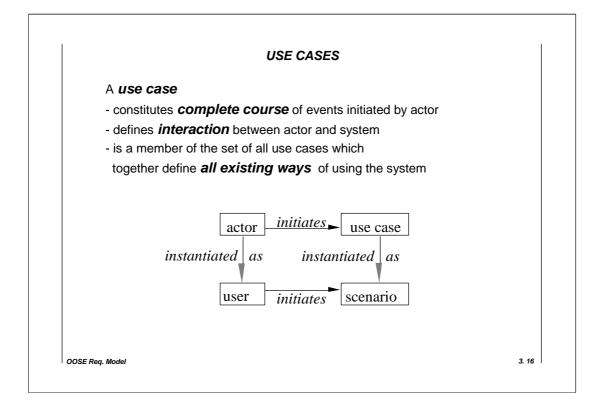
Analysis begins with the identification of actors external to the system; they are a generic way of describing the potential users of the system. In identifying actors we will need to consider scenes or situations typical to the system and its use. Please note that the users might not necessarily be humans but they might also be other systems that use the system through its system interfaces.

The important distinction here is between the *actor* and the *user*. Actors are a type perspective while users denote particular instances of these types. A particular system user, e.g. Jane a warehouse manager, may at different times take on the roles of many different actors, e.g. supervisor, driver or operator. Actors only relate to the system in specified ways in particular *use cases*.

A distinction between primary and secondary actors is made in OOSE but not UML. Examples:

- recycling machine:
 - customer (primary)
 - operator (secondary)
- warehouse:
 - supervisor, worker, truck driver, forklift operator (all primary)
- air traffic control:
 - controller, supervisor, pilot (primary),
 - maintenance team (secondary).

The interaction between the system and the actor is a sequence known as a 'use case' which we will detail on the next slide...



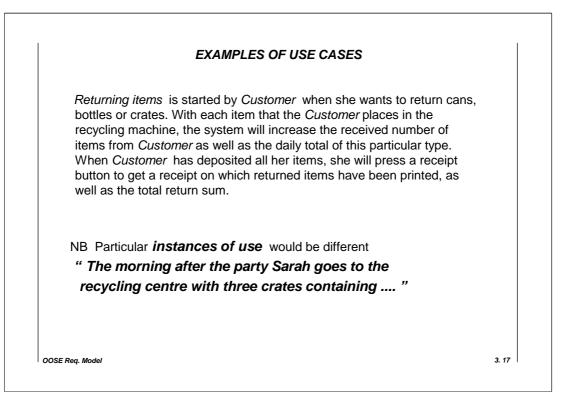
A use case is a generic description of an entire transaction of events involving the system and objects external to it. A use case can therefore be seen as a description of different states and the events that make the system transit from one state to another.

Together the uses cases represent all the defined ways of using the system and the behaviour it exhibits whilst doing so.

Again we separate types and instances for use cases. Each use case is a specific type of using the system. A scenario (in UML) denotes an instance of a use case. When a user (an actor instance) inputs a stimulus, the use case instance (a UML scenario) executes and starts a transaction belonging to the use case, consisting of actions to perform.

In OOSE the sytem model, as a whole, is use case driven. So if you want to change the system's behaviour, you should remodel the appropriate actor(s) and use case(s).

On the next slide, we revisit the recycling machine example and look at examples of its use cases...

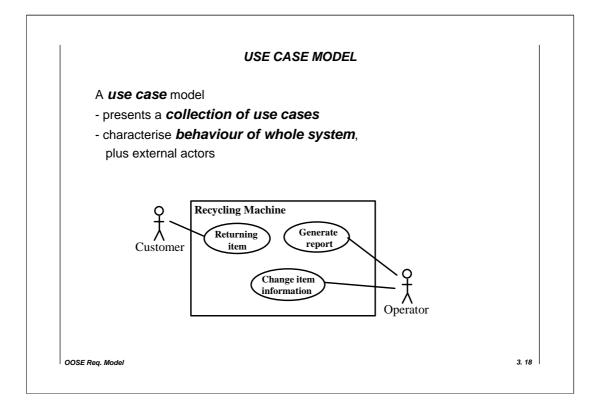


The top of this slide includes a description of an example use case for the recycling machine, plus one scenario that instantiates the use case for a particular user.

Another example of a use case for a familiar London Underground machine is given below:

Destination_Ticket (alternative to Zone_Ticket) begins when a potential Traveller approaches the ticket machine. The machine displays an introductory message inviting choice of destination. Traveller picks destination. Machine dispays message inviting choice of ticket. Traveller picks a ticket type and the machine responds with the price. After the traveller has inserted enough money, the machine dispenses the ticket and any change. Machine then prepares for its next customer.

The set of all use cases is represented in the 'use case model', for which there is a special diagram in OOSE, adapted almost exactly in the UML. We look at these diagrammatic notation on the next slide...

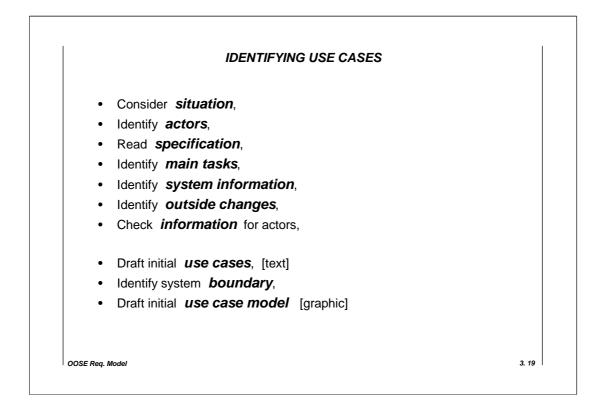


A 'use case model' combines all the use cases of a system and at the top level helps to visualise the context of the system and its boundary.

The diagram notation used for expressing the use case model is defined in the UML. Actors are classes, notated in their simplest form as stick figures with an instance name (or class box). Ellipses represent the different use cases and have an identifier naming them. Also the whole name is given a name. Lines identify the associations between actors and use cases.

In this model an actor, for example a 'clerk' in a model of a bank system, can be associated with an number of different cases, e.g. 'counter transaction', 'cheque clearing', 'audit' and more than one actor with one use case e.g. 'customer' and 'operator' in a 'stuck_item' use case in the recycling machine example.

The identification of each use case requires a detailed consideration of the system's requirements. A systematic approach representing the different use cases will be presented on the next slide.



In order to kick off the use case modelling different scenes and situations should be identified from the problem domain that is to be addressed by the system to be developed.

The next step should aim at identifying the different actors that are involved in each scene. Remember that not only the human actors should be identified but also actors that are other system should be considered.

Keeping this information in mind the specifications, transcripts of recorded information that form the input to the requirements modelling stage should be revisited for each actor in order to identify the main tasks that the actor would need to perform with the system.

Then the information objects that each actor would need to access (read), create (write) or change would need to be identified.

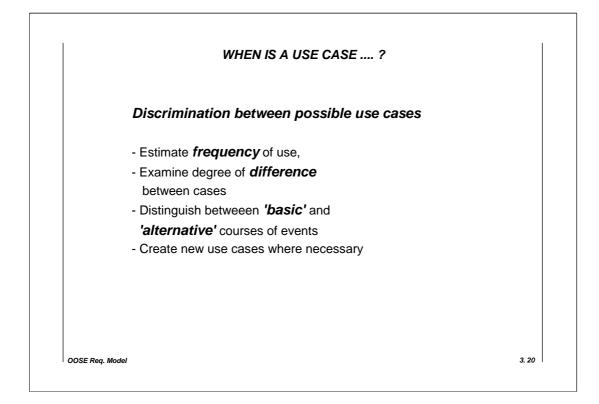
Actors would usually use the system in response to outside events/changes. A clerk in a bank, for instance would use the system in order to input a transaction that is required to bank a customer's cheque. Hence, the fact that a customer has handed in a cheque would be considered as an outside event.

Next, the events/changes that actors need to be informed about should be identified.

Then the gathered information should be used to draft use cases, essentially detailed text descriptions of the interactions between actors and the system.

Then the system boundary should be drawn, clearly separating what parts of the processes/procedures are going to be embedded into the system and which are not.

Finally, the initial use case model should be drafted using the graphic UML notation. Note that at any of these steps it might become necessary to interact with stakeholders in order to resolve incompleteness and inconsistencies.



Discrimination between cases is difficult because there may be so many levels of difference. OOSE provides only weak rules for discriminating between separate use cases.

The simplest discrimination are frequency, variation and alternation.

A fairly useful suggestion of Jacobson is to distinguish between *basic* and *alternative* courses of events. A basic sequence of events would identify the normal situations in which a system would be used. A sequence of events would be denominated as alternative if the events represent exceptional conditions that would not be considered as normal.

This distinction, for instance, allows developers later to tune the system to perform efficiently for those cases that are rather usual and trade in performance of those cases that occur less frequently.

On the next slide we will revisit the recycling machine example and elaborate the 'Returning item' use case...

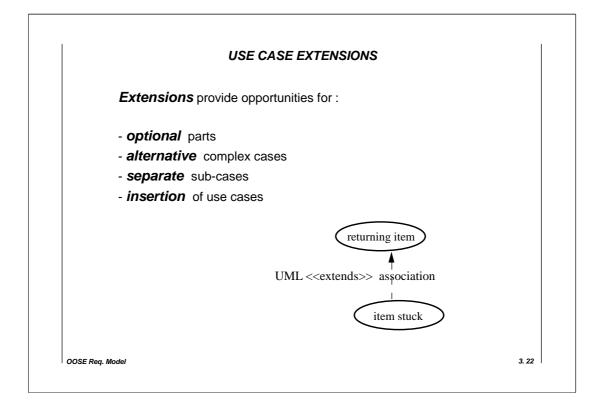
	Elaborated example	
I	BASIC - When the <i>Customer</i> returns a deposit item, it is measured by the system. The measurements are used to determine what kind of can, bottle or crate has be deposited. If accepted, the <i>Customer</i> total is incremented, as is the daily total for that specific item type.	5
,	ALTERNATIVE - If the item is not accepted, 'NOT VALID' is highlighted on the panel.	
I	BASIC - When <i>Customer</i> presses the receipt button, the printer prints the date. The customer total is calculated and the following information printed on the receipt for each item type: name, number returned, deposit value, total for this type. Finally the sum that the <i>Customer</i> should receive is printed on the receipt.	
005 0	a. Model	3.2

In this returning item use case we now distinguish basic, i.e. different normal sequences of events from alternative flows, i.e when error conditions appear.

We would have to elaborate the use case we had earlier for the London Underground ticket machine to include at least one altenative for a Traveller who makes choices in the 'wrong' order.

In considering such problems you can often find that a use case, while being independent, may have a clear association within another use case because it somehow represents a special case that extends an existing use case.

We will now look at different associations between use cases...



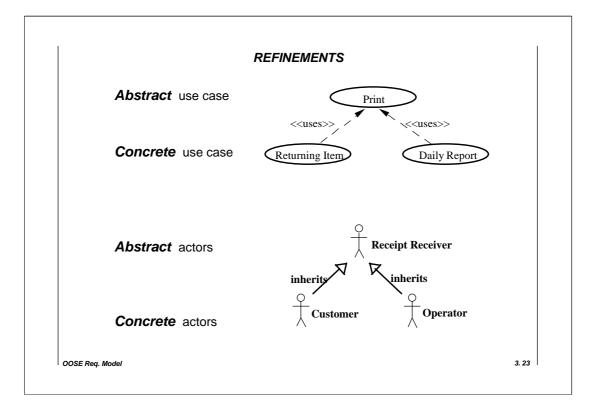
In order to complete a 'use case model' it is often necessary to indicate a number of variations, generically called *extensions* :-

- parts that may be optional;
- complex and alternative cases that are rare;
- separate sub-cases executed in some cases or circumstances;
- situations where different use cases can be inserted into or interrupt another use case

For an example let us reconsider the recycling machine again: When an item gets stuck the alarm is activate to call the *Operator*. When the *Operator* has removed the stuck item she resets the alarm and the *Customer* can continue to return items. The customer's total so far is still valid. The *Customer* does not get credit for the stuck item.

UML provides a specific type of stereotypical association called <<extends>> (using double arrowed notation for a <<stereotype>> of some kind) and dashed arrowed line.

Further refinements are possible, including the <<uses>> association discussed on the next slide...



One way of coping with the complexity of modern systems is to reuse solutions that were developed for previous systems. Typically reuse is associated with the implementation stage where developers aim at reusing previously developed source code fragments.

Reuse is probably even more effective in the earlier stages of system development, such as requirements. To achieve such reuse knowledge for a domain has to be elaborated in a way that it can then be (re-)used in a particular system development effort of that domain.

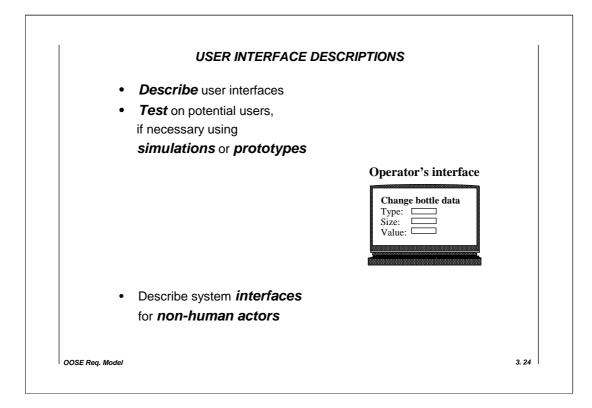
The UML use case constructs, therefore, include language concepts that enable developers to abstract use cases so that they are valid in a broader domain and then can be instantiated for a particular system.

These uses cases are related by the <<uses>> association as suggested in the example above. The example identifies an abstract use case (print receipt) that is then specialised in the returning item and daily report use case.

Similarly we can identify more abstract actors that are specialised in more concrete actors in a way that the concrete actors inherit all the properties from the abstract actor.

This approach then also provides useful information that can be used for the identification of abstraction in later stages, such as the analysis and design stage.

Once use cases are refined, or even before, it is possible to work on the other elements of the requirements model: interface descriptions and problem domain objects. This will be elaborated on the next slide...



Use cases are used to formalise requirements from informal requirements specifications, transcripts of recorded requirements elicitation meetings and other discussions with stakeholders.

An orthogonal (and constructive) way of obtaining further requirements is to use the information that was accumulated during the use case modelling for the description or even the prototyping of *user interfaces* for the later system. A user interface is human machine interface through which human actors interact with the system.

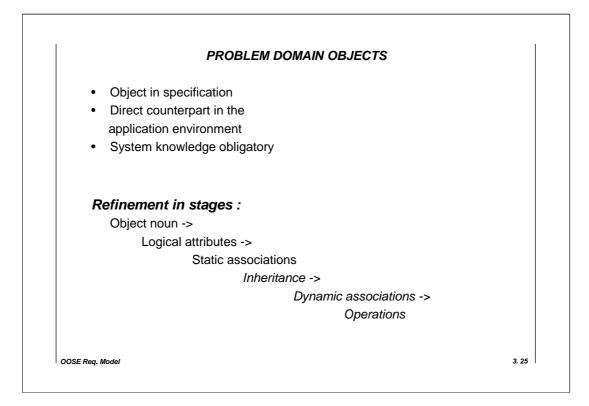
There are mechanisms by means of which just the graphical user interface with its windows, menus and forms can be effectively generated. After exposing users to these prototypes they will be able to tell what they like and what they do not like and even more importantly what is missing.

However, it is important to note that these user interface prototypes are included solely as a means of requirements capture and building use cases, not for the purposes of detailed design. They are regularly discarded after they have served to identify the requirements.

The user interfaces in the recycling machine example include:

- customer panel (holes, buttons etc.),
- receipt panel,
- operator interface.

System interfaces for non-human actors are defined in terms of the protocols necessary for the communication between the different systems involved.



In the longer term the identification of problem domain objects is an essential prerequisite for preparing a class diagram. At the requirements model stage its importance lies in the necessity for:-

- definition of objects in use cases, and,
- communication between the developers and those who have commissioned or use will use the system.

In OOSE objects are refined progressively in stages. The *later stages of refinement* are not really possible within context of the requirements model because they must cope with dynamic characteristics. In the view of Jacobson, other methods (presumably like OMT), rely completely on object models, which can result in a fixed and inflexible structure.

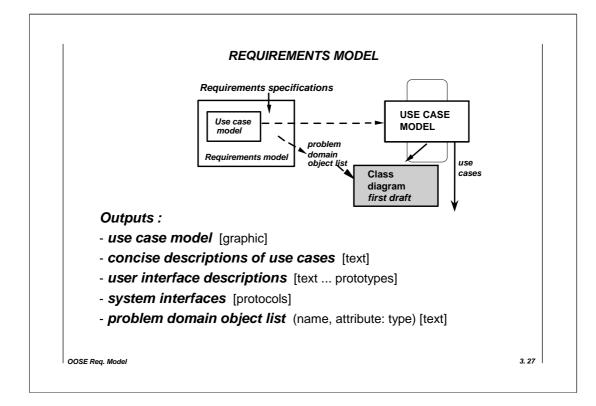
The next slide revisits the recycling machine example to illustrate how to find basic domain objects...

OBJECT	ATTRIBUTES
name	characteristic / information : type
Deposit item	name: string, total: integer, value: ECU
Can	width: cm, height: cm
Bottle	width: cm, height: cm, bottom: cm
Crate	width: cm, height: cm, lenght: cm
Receipt	total cans: int, total bottles: int,
Customer panel	receipt button: button
Operator panel	bottle data: cm,

The left hand side identifies the various objects. They were found by searching the use case descriptions for relevant nouns. Candidate attributes for these objects are identified by looking at properties of these nouns in the use case descriptions.

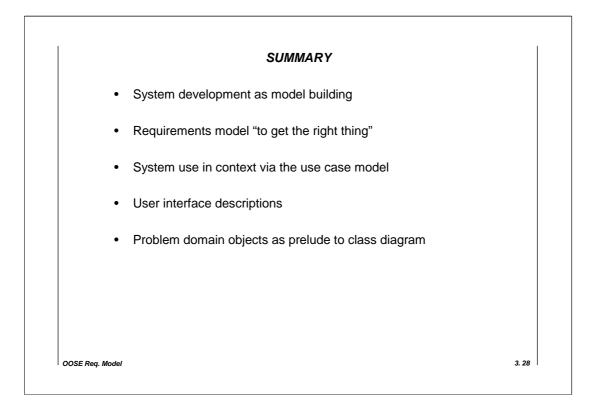
At this stage 'attributes' are particular characteristics associated with each object in the problem domain. At next stage we introduce the notation in which every object contains name, attributes (containing information derived from 'static' associations of object) and operations (defined via its dynamic asociations)

On completion of such a list we have the essential outputs from the requirements stage. The next slide displays where we are...



The diagram summarises the stage reached so far, having produced the listed outputs.

Having completed work on requirements, we can move on to the initial stage of developing the analysis model, which involves drafting an initial class diagram to elaborate the list of objects from the problem domain. This will be done in the session next week.



In OOSE almost everything is a model of some kind and building systems means building such models. The first one, for requirements, is the means for ensuring that, from the point of view of the users and those commissioning the system, it will be clearly related to documented expectations of use. The use case model provides the first stage of formalising the requirements in a way that they can be used throughout the subsequent stages of development. Specific user interface descriptions, a subject in itself not covered here, provide an additional dimension. Finally the problem domain objects comprise the first stage in the primary sequence of formalisation, the development of a class diagram in UML.

For your background reading, we would suggest the following references:

[JCJÖ92] The Requirements Model. Section 7.2. pp. 153-174. 1992.

[Boeh88] B.W. Boehm: A Spiral Model of Software Development and Enhancement. IEEE Computer. pp 61-72. May 1988.



Inputs:

- System requirements specifications [multiple media]
- Documentation of existing systems, practices etc. that are to be followed [text, graphic]
- Exchanges between developers and users and specifiers [m m]
- 1) Derive possible use cases from requirements specification
- consider possible scenes or situations
- identify actors
- read spec from each possible actor's perspective,
- identify main tasks associated with each individual actor,
- identify system information read, written or changed by actor,
- identify outside changes which actor informs system about,
- check if actor needs to be informed of unexpected changes,
- draft initial use cases (? using templates) [text]
- identify system boundary and draft initial use case model [graphic]
- 2) Discriminate between possible use cases
- estimate frequency of use,
- examine degree of difference between cases
- distinguish betweeen 'basic' and 'alternative' courses of events
- create new use cases where necessary

3) Generate for each use case a desciption in natural language text and create a full use case model [text, graphic]

4) Identify <extends> associations between use cases by modelling:

- optional parts
- complex and alternative cases that are rare
- separate sub-cases executed in some cases or circumstances
- situations where different use cases can be inserted into or interrupt a use case

5) Refine and complete use cases and use case model

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3-29

- identification of 'abstract' and 'concrete' actors



REQUIREMENTS MODEL (continued) Stages of production

6) Describe user interfaces and test on potential users, if necessary using simulations or prototypes

7) Describe system interfaces for non-human actors in terms of communication protocols etc.

8) Initial identification of problem domain objects, beginning with a 'noun list' derived from the use cases and specification

9) Check whether, and how, all requirements specified by inputs have been incorporated

Outputs:

- use case model [graphic]
- concise descriptions of use cases [text]
- user interface descriptions [text ... prototypes]
- system interfaces [protocols]
- problem domain object list (names, attributes) [text]

Notations introduced: use case diagram (system box, ellipses, names, actor icons, actor/case links, <uses> and <extends> associations) association (<extends>, <uses>)

Transition from Requirements model to Analysis model unlikely to take place without iterations.

Model outputs and intermediate products should be retained as part of final documentation, useful for checks, traceability and rationale.