#### Managing Heavy Network Load: Eliminating Receive Livelock

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# **Engineering for Performance**

- Much of the work in distributed systems concerns designing for
  - Consistency
  - Availability
  - Performance
- Performance is multi-faceted
  - Not just determined by design of distributed system itself (algorithms, protocols)
  - Low-level hardware, OS behavior play major role
- Achieving high performance requires deep understanding of all layers: hardware, OS, all the way through algorithms and protocols!

# **Engineering for Performance**

**Systems Thinking:** the ability to reason about complex interactions among many layers, to find problems (and (re)design to avoid them)

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- Performance
- Performance is multi-faceted
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# **Heavy Load Happens**

- Servers have limited CPU, network link capacity, memory, disk bandwidth
- Demand often approaches or exceeds a server's capacity, e.g.,
  - Flash crowds at web server
  - Busy NFS server as client population grows
  - IP router or firewall carrying flash crowd traffic (or denial of service attack traffic!)
- But software design can limit performance at loads lighter than where these hardware limits kick in...

- Hardware: commodity workstation (DECstation 3000/300; PC-like), two 10 Mbps Ethernet interfaces
- Software: Digital UNIX 3.2 OS, screend firewall application in userspace
- Workload: forward IP packets from one Ethernet to another (UDP packets, 4 bytes of payload each)
- Packet-generating host has faster CPU than forwarder

- **Question:** How well does whole system scale as load increases?
- **Experiment:** vary input packet rate to forwarder; observe output packet rate

firewall application in userspace

- Workload: forward IP packets from one Ethernet to another (UDP packets, 4 bytes of payload each)
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- Peak output rate w/o firewall: ~4700 pkt/s
- Beyond ~4700 pkt/s, output rate decreases with further increasing load!



Suppose hardware's capacity is 4700 pkt/s. What would ideal system behavior be beyond that input rate?

## Background: I/O Device Hardware

- I/O devices need to notify CPU of events
  - Packet arrival at network interface
  - Disk read complete
  - Key pressed on keyboard
- Two main ways CPU can learn of events:
  - Polling: CPU "asks" hardware device if any events have occurred (synchronous)
  - Interrupt: hardware device sends a signal to CPU saying "events have completed" (asynchronous)
- Key concerns: event latency and CPU load

# Polling

- Requires programmed or memory-mapped I/O (relatively slow; over I/O bus)
- CPU "blindly" polls device explicitly in code

   to guarantee low latency, must poll very often
   high CPU overhead to poll very often
- For rare I/O events, CPU overhead of polling unattractive
- Disk I/Os complete only 100s of times per second; in 1980s-90s, only hundreds of network packets arrived per second
- OSes in that era eschewed polling

### Interrupts

- I/O devices have dedicated wire(s) that they can use to signal interrupt(s) to CPU
- On interrupt, if interrupt priority level (IPL) > CPU priority level:
  - CPU saves state of currently running program
  - jumps to interrupt service routine (ISR) in kernel
  - invokes device driver, which asks device for events
  - returns to previously running program
- CPU priority level: kernel-set machine state specifying which interrupts allowed (others postponed by CPU)
- On modern x86\_64, interrupt latency of ~3 us from device interrupt to start of ISR

#### Interrupts

Interrupts well-suited to **rare I/O events:** lower latency than rarely polling, lower CPU cost than constantly polling Interrupts asynchronous—they **preempt other** 

- system activity
  - invokes device driver, which asks device for events
  - returns to previously running program
- CPU priority level: kernel-set machine state specifying which interrupts allowed (others postponed by CPU)
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# Interrupts and Network I/O

- Disk I/O requests come from OS itself; completion interrupts inherently ratecontrolled
- Network packets come from other hosts; no "local" rate control for received packet interrupts
- Remember: interrupts take priority over all other system processing (over other kernel execution, user-space applications)
- What will happen when received packet rate extremely high?

- Answer depends on detailed software structure...

## Interrupts and Network I/O

#### **Receive Livelock:**

When event rate (pkt arrival rate) so high, system spends all its time servicing interrupts, gets no other work done!

interrupts

- Remember: interrupts take priority over all other system processing (over other kernel execution, user-space applications)
- What will happen when received packet rate extremely high?

- Answer depends on detailed software structure...

# Design Goals for Network I/O System

- Goals:
  - Low latency for responding to I/O events
  - Low jitter (variance in latency)
  - Fairness: resources allocated evenly among tasks
  - High throughput for I/O (e.g., achievable packet receive rate, transmit rate)
- What are the tasks for a network server?
  - Packet reception
  - Packet transmission
  - Protocol processing (often in kernel)
  - Other I/O processing
  - Application processing

## Background: OS Architecture for Interrupt-Driven Networking

- Packet arrives
- Network card interrupts at "high" IPL
- ISR looks at Ethernet header, enqueues packet for further processing, returns
- "Low" IPL software interrupt dequeues packets from queue, does IP/UDP/TCP processing, enqueues data for dst process
- Process reads data with read() system call
- Queues denote scheduling and priority level boundaries

## Background: OS Architecture for Interrupt-Driven Networking

Queues are **scheduling** and **priority level** boundaries Minimizing work in ISR **reduces service latency** for other device I/O interrupts

- "Low" IPL software interrupt dequeues packets from queue, does IP/UDP/TCP processing, enqueues data for dst process
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### Interrupt-Driven Networking, UNIX Style



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#### Interrupt-Driven Networking, UNIX Style



Design prioritizes **packet reception** above all else Original motivation: small buffers on network interfaces (no longer a concern)

#### Interrupt-Driven Networking, UNIX Style



How will this system behave as packet receive rate increases—what will output packet rate do?

## **Receive Livelock Pathologies**

- As input rate increases beyond maximum loss-free receive rate, output rate decreases
- System wastes CPU preparing arriving packets for queue, all of which dropped
- For input burst of packets, first packet not delivered to user level until whole burst put on queue (e.g., leaves NFS server disk idle!)
- In systems where transmit lower-priority than receive, transmit starves

## Livelock Avoidance Technique 1: Minimize Receive Interrupts

- Goal: limit the receive interrupt rate
- Receive ISR:
  - sets flag indicating this network interface has received one or more packets
  - schedules kernel thread that polls network interfaces for received packets
  - does not re-enable receive interrupts
- That's it! Set flag, schedule kernel thread, and return, leaving receive interrupts disabled.

# Livelock Avoidance Technique 2: Kernel Polling Thread

- When scheduled, checks all network interfaces' "packets received" flags
- For such interfaces:
  - process packet all the way through kernel protocol stack (IP/forwarding/UDP/TCP), ending with interface output queue or socket buffer to application
  - maximum quota on packets processed for same interface on one invocation for fairness
  - round-robins among interfaces and between transmit and receive
  - Re-enable interface's receive interrupts only when no pending packets at that interface

# Livelock Avoidance Technique 2: Kernel Polling Thread

#### Under overload, where do packets go?

Dropped by network interface card when buffering exhausted (either in card, or in host RAM), **at no CPU cost!** 

- with interface output queue or socket buffer to application
- maximum quota on packets processed for same interface on one invocation for fairness
- round-robins among interfaces and between transmit and receive
- Re-enable interface's receive interrupts only when no pending packets at that interface

### Performance Evaluation: Techniques 1 and 2



- No screend firewall
- Without quotas for input processing, big trouble! (Why?)

#### What about screend?



- User-level application still cannot run under heavy receive load!
- Technique 3: disable receive interrupts when queue to user application fills

# **Receive Livelock: Summary**

- Scheduling vital to performance of a busy server
  - may be implicit (e.g., interrupts), not explicit (e.g., OS scheduler)
- Understanding cross-layer behavior vital to finding performance limitations and designing for high performance
- General lessons:
  - Don't discard data after doing work on it
  - Poll while busy, interrupt while lightly loaded